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PTO/SB/42 (08-00)

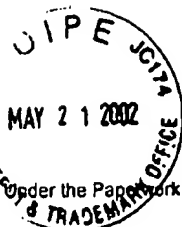
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| 37 CFR 1.501<br>INFORMATION DISCLOSURE CITATION<br>(Use several sheets if necessary) |                 |   |                               | Docket Number (Optional)<br>42577/01030/28695 |          | Application Number<br>09/837,112 |  |
|--|-----------------|---|-------------------------------|---|----------|----------------------------------|--|
|  |                 |   |                               | Applicant<br>Barrette et al.                  |          |                                  |  |
|  |                 |   |                               | Filing Date<br>04/18/2001                     |          | Group Art Unit<br>2161           |  |
| U.S. PATENT DOCUMENTS  |                 |   |                               |   |          |                                  |  |
| EXAMINER<br>INITIAL  | DOCUMENT NUMBER | DATE  | NAME                          | CLASS   | SUBCLASS | FILING DATE<br>IF APPROPRIATE    |  |
| KPB  | D 2 7 6 6 2 6   | 12/04/84  | Lockwood                      | D20/2   | 2        | 09/14/81                         |  |
| KPB  | D 2 8 9 7 7 7   | 05/12/87  | Thomas                        | D20/1   | 1        | 08/13/84                         |  |
| KPB  | D 3 3 0 5 4 4   | 10/27/92  | Kane                          | D14/103                                       | 305      | 12/17/90                         |  |
| KPB  | D 3 3 9 3 2 9   | 09/14/93  | Lacko                         | D14/103                                       | 305      | 10/09/90                         |  |
| KPB  | 4 1 5 9 4 1 7   | 06/26/79  | Rubincam                      | 235/375                                       | 375      | 10/28/77                         |  |
| KPB  | 4 4 9 0 8 1 0   | 12/25/84  | Hon                           | 364/008                                       | 43       | 02/16/82                         |  |
| KPB  | 4 5 4 5 0 2 3   | 10/01/85  | Mizzi                         | 364/008                                       | 143      | 09/13/84                         |  |
| KPB  | 4 5 7 5 6 2 1   | 03/11/86  | Dreifus                       | 235/380                                       | 380      | 03/07/84                         |  |
| KPB  | 4 5 9 1 9 7 4   | 05/27/86  | Dornbush et al.               | 364/200                                       | 509      | 01/31/84                         |  |
| KPB  | 4 5 9 7 0 5 8   | 06/24/86  | Izumi et al.                  | 364/900                                       | 115      | 06/03/83                         |  |
| KPB  | 4 6 0 1 0 1 1   | 07/15/86  | Grynberg                      | 364/900                                       | 184      | 03/18/85                         |  |
| FOREIGN PATENT DOCUMENTS   |                 |   |                               |   |          |                                  |  |
|  | DOCUMENT NUMBER | DATE  | COUNTRY                       | CLASS   | SUBCLASS | TRANSLATION<br>YES NO            |  |
| KPB  | GB 2 149 544 A  | 06/12/85  | UK                            | G06F  | 3/147    |                                  |  |
| KPB  | WO 99/49615     | 09/30/99  | PCT                           |   |          |                                  |  |
|  | JP 02-257364    |   | JP (Equivalent to EP 0300611) |   |          | X                                |  |
| KPB  | 0 390 611 A2    | 03/30/90  | EP                            |   |          |                                  |  |
| KPB  | WO 98/08344 A2  | 02/26/98  | PCT                           |   |          |                                  |  |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)               |                 |   |                               |   |          |                                  |  |
| KPB  | ✓               | Watanabe et al., "Visual Interface For Retrieval Of Electronic Formed Books", IEEE July 1993, pp. 692-695 |                               |   |          |                                  |  |
| KPB  | ✓               | Pobiak, "Adjustable Access Electronic Books", IEEE January 1992; pp. 90-94                                |                               |   |          |                                  |  |
| KPB  | ✓               | Dvorak et al. "A Methodology For User Centered Link Structure For Textbook To Hypertext Conversion"; IEEE |                               |   |          |                                  |  |
| KPB  | ✓               | January 1992, pp. 619-628   |                               |   |          |                                  |  |
| KPB  |                 | This Little Computer Tries to Be A Book, St. Louis Post Dispatch, January 4, 1995                         |                               |   |          |                                  |  |
| EXAMINER<br>Not yet assigned   |                 |   |                               | DATE CONSIDERED<br>3/25/05                    |          |                                  |  |

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| <b>37 CFR 1.501</b><br><b>INFORMATION DISCLOSURE CITATION</b><br>IN A PATENT<br>(Use several sheets if necessary) |  |  |  | Docket Number (Optional)<br>42577/01030/28695 |  | Application Number<br>09/837,112 |  |
|   |  |  |  | Applicant<br>Barrette et al.                  |  |                                  |  |
|   |  |  |  | Filing Date<br>04/18/2001                     |  | Group Art Unit<br>2161           |  |

| U.S. PATENT DOCUMENTS |                 |   |   |   |   |   |      |          |                                      |                |                            |          |
|-----------------------|-----------------|---|---|---|---|---|------|----------|--------------------------------------|----------------|----------------------------|----------|
| EXAMINER INITIAL      | DOCUMENT NUMBER |   |   |   |   |   | DATE | NAME     | CLASS                                | SUBCLASS       | FILING DATE IF APPROPRIATE |          |
| KCB                   | 4               | 7 | 2 | 5 | 9 | 7 | 7    | 02/16/88 | Izumi et al.                         | 384/900<br>711 | 115                        | 02/28/86 |
| KCB                   | 4               | 7 | 7 | 9 | 0 | 8 | 0    | 10/18/88 | Coughlin et al.                      | 340/712<br>345 | 157                        | 03/06/86 |
| KCB                   | 4               | 7 | 8 | 7 | 0 | 5 | 0    | 11/22/88 | Suzuki                               | 364/479<br>700 | 232                        | 11/12/86 |
| KCB                   | 4               | 7 | 8 | 9 | 8 | 6 | 3    | 12/06/88 | Bush                                 | 340/825<br>350 | 59                         | 01/13/88 |
| KCB                   | 4               | 8 | 2 | 0 | 1 | 6 | 7    | 04/11/89 | Nobles et al. Technology Center 2100 | 340/336<br>434 | 336                        | 01/14/87 |
| KCB                   | 4               | 8 | 2 | 7 | 5 | 0 | 8    | 05/02/89 | Shear                                | 380/4<br>705   | 53                         | 10/14/85 |
| KCB                   | 4               | 8 | 5 | 5 | 7 | 2 | 5    | 08/08/89 | Fernandez                            | 340/706<br>345 | 173                        | 12/28/88 |
| KCB                   | 4               | 8 | 9 | 9 | 2 | 9 | 2    | 02/06/90 | Montagna et al.                      | 364/521<br>707 | 104.1                      | 03/02/88 |
| KCB                   | 4               | 9 | 1 | 6 | 4 | 4 | 1    | 04/10/90 | Gombrich                             | 340/712<br>345 | 169                        | 09/19/88 |
| KCB                   | 4               | 9 | 2 | 4 | 3 | 7 | 8    | 05/08/90 | Hershey et al.                       | 364/200<br>713 | 201                        | 06/13/88 |
| KCB                   | 4               | 9 | 4 | 9 | 1 | 8 | 7    | 08/14/90 | Cohen                                | 358/336<br>386 | 69                         | 12/16/88 |

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|--------------------------|-----------------|----------|---------|-------|----------|-------------|----|
|                          | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|                          |                 |          |         |       |          | YES         | NO |
| KCB                      | WO 98/08344 A3  | 02/26/98 | PCT     |       |          |             |    |
| KCB                      | WO 96/24092 A2  | 08/08/96 | PCT     |       |          |             |    |
| KCB                      | WO 96/24092 A3  | 08/08/96 | PCT     |       |          |             |    |

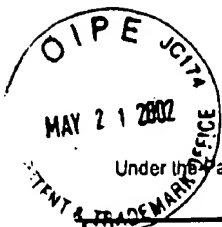
  

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| KCB  | ✓ IBM To Unveil Plan To Skip Disks, Send Software By Satellite, The Wall Street Journal, November 1, 1994   |
| KCB  | ✓ The Heller Report, October 1993   |
| KCB  | ✓ Electronic Campus, The Wall Street Journal, June 1, 1993  |
| KCB  | ✓ Tony Ramos, Making Book On Electronic Books, College Store Journal, September/October 1992  |
| KCB  | ✓ Dynamic WAIS Book: an electronic book to publish and consult information distributed across a wide-area network, S. Bizzozero et al., ACM 1994 (2 pgs.) |

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|---|----------------------------|
| EXAMINER<br>Not yet assigned <i>[Signature]</i> | DATE CONSIDERED<br>2/25/05 |
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**37 CFR 1.501**  
**INFORMATION DISCLOSURE CITATION**  
**IN A PATENT**  
(Use several sheets if necessary)

Docket Number (Optional)  
42577/01030/28695

Application Number  
09/837,112

Applicant  
Barrette, et al.

Filing Date  
04/18/2001

Group Art Unit  
2161

**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE     | NAME                | CLASS          | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|----------|---------------------|----------------|----------|----------------------------|
| LD               | 4 9 8 5 6 9 7   | 01/15/91 | Boulton             | 340/750<br>715 | 500-1    | 01/21/88                   |
| LD               | 4 9 7 7 5 9 4   | 12/11/90 | Shear               | 380/4<br>705   | 53       | 02/16/89                   |
| LD               | 5 0 0 3 3 8 4   | 03/26/91 | Durden et al.       | 380/4<br>725   | 104      | 04/01/88                   |
| LD               | 5 0 1 0 5 7 1   | 04/23/91 | Katznelson          | 380/4<br>705   | 53       | 09/10/86                   |
| LD               | 5 0 2 9 1 1 2   | 07/02/91 | Sakamoto et al.     | 380/4<br>725   | 418      | 12/20/88                   |
| LD               | 5 0 5 0 2 1 3   | 09/17/91 | Shear               | 380/4<br>705   | 53       | 08/06/90                   |
| LD               | 5 0 6 5 3 4 5   | 11/12/91 | Knowles et al.      | 395/154<br>715 | 500-1    | 08/21/90                   |
| LD               | 5 0 9 1 9 3 9   | 02/25/92 | Cole et al.         | 380/25<br>713  | 183      | 06/22/90                   |
| LD               | 5 1 1 5 5 0 8   | 05/19/92 | Hatta               | 395/725<br>340 | 5-2      | 03/29/88                   |
| LD               | 5 1 2 1 4 9 2   | 06/09/92 | Saville, III et al. | 396/500<br>703 | 13       | 03/02/87                   |
| LD               | 5 1 3 2 9 9 2   | 07/21/92 | Yurt et al.         | 375/422<br>375 | 240      | 01/07/91                   |

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|    | DOCUMENT NUMBER | DATE     | COUNTRY         | CLASS | SUBCLASS | TRANSLATION |    |
|----|-----------------|----------|-----------------|-------|----------|-------------|----|
|    |                 |          |                 |       |          | YES         | NO |
| LD | WO 98/08344 A2  | 02/26/98 | PCT (duplicate) |       |          |             |    |
|    | WO 98/08344 A3  | 02/26/98 | PCT (duplicate) |       |          |             |    |
|    |                 |          |                 |       |          |             |    |
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| LD | / | A Prototype Electronic Encyclopedia, Stephen A. Weyer et al., ACM Trans. On Off. Inf. Sys., Vol. 3, No. 1, pp. 63-88, Jan. 1985 |
| LD | / | Converting from a Paper to an On-line Computing Center Newsletter, Matthew Wall et al., ACM SIGUCCS XXI 1993, pp. 412-418       |
| LD | / | A Uniform Interface to Networked Library Services, M. Blumenfeld et al., ACM 1992, pp. 608-613                                  |
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EXAMINER  
Not yet assigned *Qued B*

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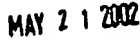


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|---------------------|-----------------|----------|-----------------|-------------------|----------|-------------------------------|
| KID                 | 5 5 3 2 9 2 0   | 07/02/96 | Hartrick et al. | 384/419.1<br>715  | 500      | 12/28/94                      |
| KID                 | 5 6 3 8 4 4 3   | 06/10/97 | Stefik et al.   | 380/4<br>705      | 54       | 11/23/94                      |
| KID                 | 5 6 8 0 4 5 3   | 10/21/97 | Akiyama et al.  | 380/4<br>705      | 52       | 08/03/95                      |
| KID                 | 5 6 9 4 4 6 9   | 12/02/97 | Le Rue.         | 380/4<br>705      | 51       | 11/17/95                      |
| KID                 | 5 7 0 8 7 0 9   | 01/13/98 | Rose            | 380/4<br>705      | 59       | 12/08/95                      |
| KID                 | 5 7 1 5 4 0 3   | 02/03/98 | Stefik          | 395/244<br>705    | 44       | 11/23/94                      |
| KID                 | 5 7 3 4 8 2 3   | 03/31/98 | Saigh et al.    | 385/200.06<br>709 | 229      | 07/25/96                      |
| KID                 | 5 7 3 4 8 9 1   | 03/31/98 | Saigh           | 395/610<br>707    | 10       | 06/12/96                      |
| KID                 | 5 7 9 4 2 0 7   | 08/11/98 | Walker et al.   | 705/23<br>705     | 1        | 09/04/96                      |
| KID                 | 5 9 1 0 9 8 7   | 06/08/99 | Ginter et al.   | 380/24<br>705     | 52       | 12/04/96                      |

## FOREIGN PATENT DOCUMENTS

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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